

Acknowledgements

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- Paulo Tabuada & Kalle Johansson (EECI course)

Event-triggered Control

Maurice Heemels



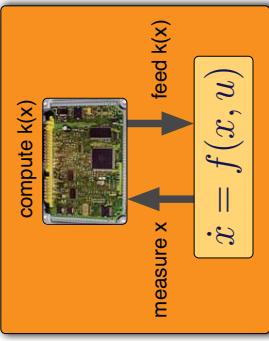
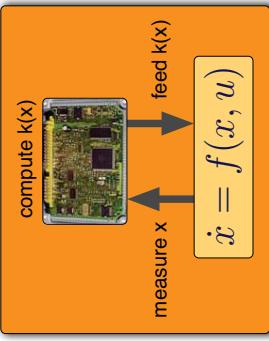
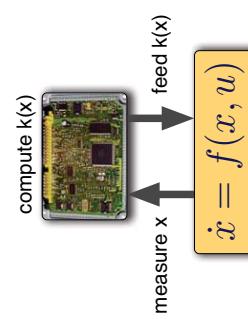
5th HYCON2 PhD School

Introduction

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Real-time control

- Feedback control is typically implemented on microprocessors using periodic time-triggered execution [1]



- Most of existing control theory was developed by ignoring the real-time implementation details: $u(t) = k(x(t))$
- Object of analysis: $\dot{x} = f(x, k(x))$

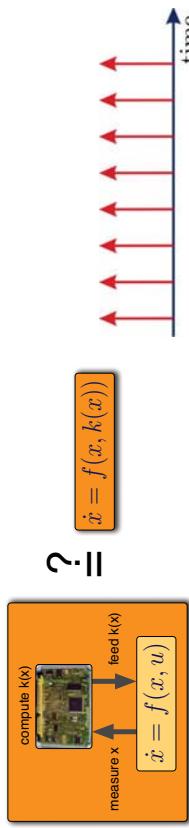
Introduction

Introduction

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Real-time control

- Feedback control is typically implemented on microprocessors using periodic time-triggered execution [1]

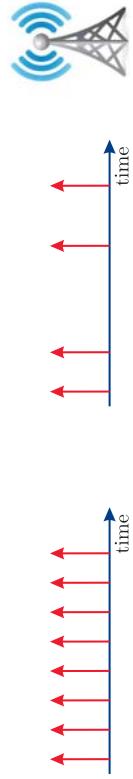


- Most of existing control theory was developed by ignoring the real-time implementation details.
- Assumption: Computation and communication sufficiently fast
- Separation of concerns with periodic time-triggered paradigm:
 - Control engineers design controllers while ignoring implementations
 - Software engineers schedule tasks while ignoring their functionality

[1] courtesy Paulo Tabuada
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- Technological motivation:
 - Resource-constrained** large-scale cyber-physical systems
 - Computation time on embedded systems
 - Network utilisation in NCSs
 - Battery power in WCSS

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Introduction

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Paradigm shift: Periodic control → Aperiodic control



- Event-triggered control: reactive

$$u(t) = K(x(t_k)), \text{ when } t \in [t_k, t_{k+1})$$
$$t_{k+1} = \inf\{t > t_k \mid C(x(t), x(t_k)) \geq 0\}$$

- Example event-triggering condition

$$\|x(t) - x(t_k)\| \geq \sigma \|x(t)\| + \delta$$

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First hour

- Basic setup for event-triggered control: State feedback case
- Two analysis frameworks
 - Perturbation approach
 - Hybrid system approach

Second hour

- Output-feedback event-triggered control
- Robustness in event-triggered control
- Conclusions and outlook

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Outline

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Periodic or Aperiodic: That's the question!

- Separation of concerns simplifies the design process, but results in inefficient usage of resources

Paradigm shift: Periodic control → Aperiodic control

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Event-triggered control

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- Perturbation perspective:

$$\dot{x}(t) = Ax(t) + BKx(t_k) = (A + BK)x(t) + BKe(t)$$

- Since $A + BK$ Hurwitz, quadratic Lyapunov function $V(x) = x^\top Px$ s.t.

$$\dot{V} \leq -a^2\|x(t)\|^2 + b^2\|e(t)\|^2$$

- Question: How to design event-trig. mech. determining t_k s.t. GES?

$$t_{k+1} = \inf\{t > t_k \mid C(x(t), e(t)) \geq 0\}$$

- Crux: Guarantee $\|e(t)\| \leq \sigma a/b \cdot \|x(t)\|$ with $0 < \sigma < 1$ s.t.

$$\dot{V} \leq -a^2\|x(t)\|^2 + b^2\|e(t)\|^2 \leq -(1 - \sigma^2)a^2\|x(t)\|^2$$

- Guarantee for Global Exponential Stability

$$t_{k+1} = \inf\{t > t_k \mid \underbrace{\|x(t_k) - x(t)\|}_{=e(t)} \geq \sigma a/b \cdot \|x(t)\|\}$$

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Event-triggered control

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- Summary of event-triggered setup:
 - Linear system
 - Execution times $t_k, k \in \mathbb{N}$

$$\dot{x}(t) = Ax(t) + Bu(t)$$

- Execution times $t_k, k \in \mathbb{N}$

$$t_{k+1} = \inf\{t > t_k \mid \|x(t_k) - x(t)\| \geq \sigma a/b \cdot \|x(t)\|\}$$

- Control law:

$$u(t) = Kx(t_k), \quad t \in [t_k, t_{k+1})$$

- Global exponential stability (GES)

- Question: Which important issue should we still verify?

Minimal inter-event times

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- Summary of event-triggered setup:

- Linear system

$$\dot{x}(t) = Ax(t) + Bu(t)$$

- Execution times $t_k, k \in \mathbb{N}$

$$t_{k+1} = \inf\{t > t_k \mid \|x(t_k) - x(t)\| \geq \sigma a/b \cdot \|x(t)\|\}$$

- Control law:

$$u(t) = Kx(t_k), \quad t \in [t_k, t_{k+1})$$

- Minimal inter-event time (MIET)

$$\inf\{t_{k+1} - t_k \mid k \in \mathbb{N}\}$$

- MIET should have a strictly positive lower bound!

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Minimal inter-event times

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- Inter-execution times:

$$t_{k+1} = \inf\{t > t_k \mid \underbrace{\|x(t_k) - x(t)\|}_{=e(t)} \geq \sigma a/b \cdot \|x(t)\|\}$$

- Note after event-time: $e(t_k) = 0$

- Dynamics state:

$$\dot{x}(t) = (A + BK)x(t) + BKe(t)$$

- Dynamics induced error $e(t) = x(t_k) - x(t)$ for $t \in [t_k, t_{k+1})$

$$\dot{e}(t) = -\dot{x}(t) = -(A + BK)x(t) - BKe(t)$$

- Inter-event time: time needed for $\|e(t)\|^2 - \sigma^2 a^2/b^2 \cdot \|x(t)\|^2$ to reach 0

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Minimal inter-event times

Minimal inter-event times

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- Note after event-time: $e(t_k) = 0$

$$\begin{aligned}\dot{x}(t) &= (A + BK)x(t) + BKe(t) \\ \dot{e}(t) &= -\dot{x}(t) = -(A + BK)x(t) - BKe(t)\end{aligned}$$

- Inter-event time: time needed for $\|e(t)\|^2 - \sigma^2 a^2/b^2 \cdot \|x(t)\|^2$ to reach 0

\Downarrow

- Take $\xi = (x, e)$ with dynamics

$$\dot{\xi}(t) = \Phi \xi(t) \text{ with } \Phi = \begin{pmatrix} A + BK & BK \\ -(A + BK) & -BK \end{pmatrix} \text{ and } \xi(0) = \begin{pmatrix} I \\ 0 \end{pmatrix} x_0$$

- Inter-event determined by time needed for $\xi^\top(t)Q\xi(t)$ to reach 0 with

$$Q = \begin{pmatrix} -\sigma^2 a^2/b^2 I & 0 \\ 0 & I \end{pmatrix}$$

$$\rightarrow \text{MIET: } \inf_{x_0 \neq 0} \inf \{ t > 0 \mid x_0^\top (I 0) e^{\Phi^\top t} Q e^{\Phi t} \begin{pmatrix} I \\ 0 \end{pmatrix} x_0 = 0 \}$$

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Summary

- Linear systems $\dot{x}(t) = Ax(t) + Bu(t)$
- Sampled-data control with execution times $t_k, k \in \mathbb{N}$

$$u(t) = Kx(t_k), \quad t \in [t_k, t_{k+1})$$

- Perturbation perspective:

$$\dot{x}(t) = Ax(t) + BKx(t_k) = (A + BK)x(t) + BKe(t)$$

- Since $A + BK$ Hurwitz, quadratic Lyapunov function $V(x) = x^\top Px$ s.t.

$$\dot{V} \leq -a^2 \|x(t)\|^2 + b^2 \|e(t)\|^2$$

- Event-triggering mechanism

$$t_{k+1} = \inf \{ t > t_k \mid \underbrace{\|x(t_k) - x(t)\|}_{=e(t)} \geq \sigma a/b \cdot \|x(t)\| \}$$

- Guarantees:

- Closed-loop stability
- (Global) lower bound on minimal inter-event times

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[1] Tabuada, TAC '07
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Minimal inter-event times

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$$\rightarrow \text{MIET: } \inf_{x_0 \neq 0} \inf \{ t > 0 \mid x_0^\top (I 0) e^{\Phi^\top t} Q e^{\Phi t} \begin{pmatrix} I \\ 0 \end{pmatrix} x_0 = 0 \}$$

- This leads to [eliminating x_0]

$$\inf \{ t > 0 \mid (I 0) e^{\Phi^\top t} Q e^{\Phi t} \begin{pmatrix} I \\ 0 \end{pmatrix} \succeq 0 \}$$

- Proof of strictly positive lower bound on MIET (global)
- Computable by non-conservative () eigenvalue test

$$\dot{\xi}(t) = \Phi \xi(t) \text{ with } \Phi = \begin{pmatrix} A + BK & BK \\ -(A + BK) & -BK \end{pmatrix} \text{ and } \xi(0) = \begin{pmatrix} I \\ 0 \end{pmatrix} x_0$$

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Illustrative Examples

Example 1: State feedback control

- Consider $\dot{x} = \begin{bmatrix} 0 & 1 \\ -2 & 3 \end{bmatrix} x + \begin{bmatrix} 0 \\ 1 \end{bmatrix} u$ and $u(t) = [1 \ -4]x(t_k)$
- Example taken from [1]

- TTC: $t_k = k \cdot 0.025$
- ETC: $t_k = t \iff \|e(t)\| = 0.05\|x(t)\|$

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[1] Tabuada, TAC '07

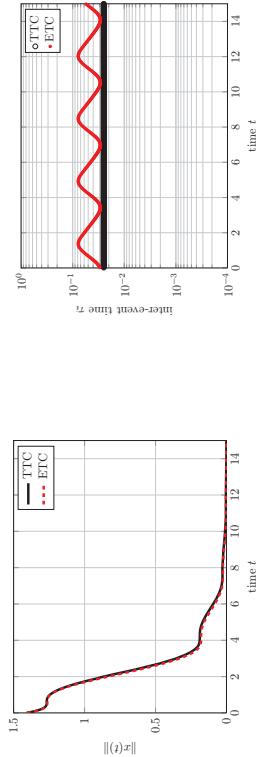
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- Example taken from [1]
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- ETC: $t_k = t \iff \|e(t)\| = 0.05\|x(t)\|$ **MIET = 0.025**



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Hybrid Systems Approach

- Analysis in perturbation approach based on
 - $\dot{x}(t) = Ax(t) + BKx(t_k) = (A + BK)x(t) + BKe(t)$
 - $\|\|e(t)\| \leq \sigma a/b \cdot \|x(t)\|$
- Ignores dynamics error $e(t) = x(t_k) - x(t)$ for $t \in [t_k, t_{k+1})$

$$\dot{e}(t) = -\dot{x}(t) = -(A + BK)x(t) - BKe(t)$$

- Hybrid system: $\xi = (x, e)$

$$\begin{aligned}\dot{\xi}^- &= \Phi\xi && \text{when } \xi^\top Q\xi \leq 0 \\ \xi^+ &= J\xi && \text{when } \xi^\top Q\xi \geq 0\end{aligned}$$

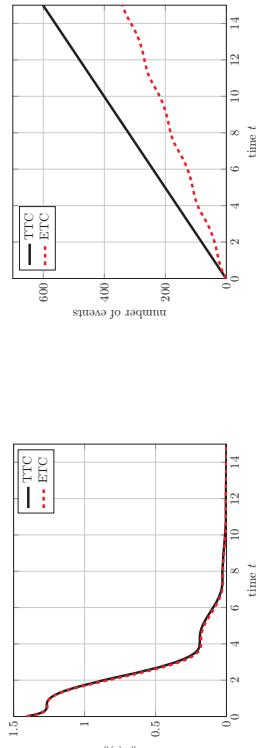
$$\Phi = \begin{pmatrix} A + BK & BK \\ -(A + BK) & -BK \end{pmatrix} \quad Q = \begin{pmatrix} -\sigma^2 a^2/b^2 I & 0 \\ 0 & I \end{pmatrix} \quad J = \begin{pmatrix} I & 0 \\ 0 & 0 \end{pmatrix}$$

[1] Donkers, Heemels, CDC10 & TAC11
 [2] Postoyan et al., CDC 11
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Illustrative Examples

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Hybrid Systems Approach

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- Hybrid system: $\xi = (x, e)$

$$\begin{aligned}\dot{\xi}^- &= \Phi\xi && \text{when } \xi^\top Q\xi \leq 0 \\ \xi^+ &= J\xi && \text{when } \xi^\top Q\xi \geq 0\end{aligned}$$

- Stability analysis using hybrid tools [1]: $V(\xi) = \xi^\top P\xi$
 - $\frac{d}{dt}V(\xi) < 0$ when $\xi^\top Q\xi \leq 0$
 - $-V(J\xi) \leq V(\xi)$ when $\xi^\top Q\xi \geq 0$
- Linear matrix inequalities: if there are $\alpha, \beta \geq 0$ s.t.
 - $\Phi^\top P + P\Phi - \alpha Q \prec 0$
 - $-J^\top PJ - P + \beta Q \leq 0$
- Guarantee for GES
- Never more conservative than perturbation approach [2]

[1] Goebel, Sanfelice, Teel, CSM09
 [2] Donkers, Heemels, TAC12
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Illustrative Examples

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Example 1: Comparison P and HS approach

- Consider $\dot{x} = \begin{bmatrix} 0 & 1 \\ -2 & 3 \end{bmatrix}x + \begin{bmatrix} 0 \\ 1 \end{bmatrix}u$ and $u(t) = [1 \ -4]x(t_k)$

- Example taken from [1]

- We look for largest $\tilde{\sigma}$ giving stability: $\|e\|^2 \leq \tilde{\sigma}\|x\|^2$ [2]

P: Results from [1]	$\tilde{\sigma}$	MIET
P: By minimising the \mathcal{L}_2 -gain	0.0030	0.0318
Hybrid System	0.0273	0.0840
	0.0588	0.1136

- PS: via minimising \mathcal{L}_2 -gain: minimise b/a to maximise a/b

$$\dot{V} \leq -\alpha^2 \|x(t)\|^2 + b^2 \|e(t)\|^2 \quad \text{for } \dot{x} = (A + BK)x + BKe$$

$$\bullet \text{ ETM: } t_{k+1} = \inf\{t > t_k \mid \|\underbrace{x(t_k) - x(t)}_{=e(t)}\| \geq \sigma a/b \cdot \|x(t)\|\}$$

[1] Tabuada, TAC '07 [2] Donkers, Heemels, CDC10 & TAC12 **TU/e**
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Event-triggered control

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Complete design

- Emulation-based design procedure for K
- Using perturbation approach we can synthesize ETM $\|e\| \geq \sigma\|x\|$
- ETM (σ) can be pushed further using hybrid system approach
- Guaranteed GES
- Guaranteed positive and global lower bound on MIET

Are we done?

- What if full state x not available for feedback, but only output y ?

Nonlinear Systems

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Do similar results hold for the nonlinear case?

- Nonlinear system $\dot{x} = f(x, u)$
- Sampled-data control with execution times $t_k, k \in \mathbb{N}$

$$u(t) = k(x(t_k)) = k(x(t) + e(t)), \quad t \in [t_k, t_{k+1})$$

- Perturbation perspective: $\dot{x} = f(x, k(x + e))$
- Suppose Input-to-State Stability (ISS) with ISS LFV

$$\frac{\partial V}{\partial x} f(x, k(x + e)) \leq -\alpha(\|x\|) + \beta(\|e\|)$$

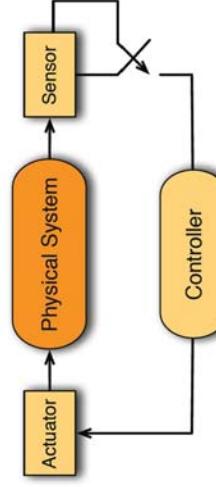
- α, β class \mathcal{K} -functions: $\alpha(0) = 0$, continuous, strictly increasing
- Eventtriggering mechanism: for some $0 < \sigma < 1$

$$\begin{aligned} -\alpha(\|x\|) + \beta(\|e\|) &\leq -\sigma\alpha(\|x\|) \\ t_{k+1} &= \inf\{t > t_k \mid \beta(\|e(t)\|) \geq -(1 - \sigma)\alpha(\|x(t)\|)\} \end{aligned}$$

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Output-based ETC

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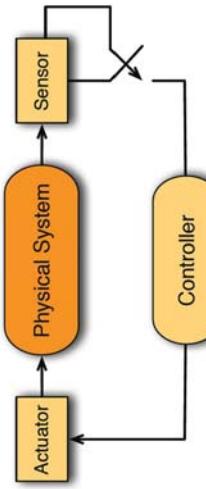


Output-based ETC

Output-based ETC

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Illustrative example



• Consider

$$\begin{cases} \dot{x}_p = \begin{bmatrix} 1 & -1 \\ 10 & -1 \end{bmatrix} x_p + \begin{bmatrix} 1 \\ 1 \end{bmatrix} u \\ y = \begin{bmatrix} 1 & 0 \end{bmatrix} x_p \end{cases}$$

• ETM: $\|y(t) - y(t_k)\|^2 > \sigma \|y(t)\|^2$

• Parameter: $\sigma = 0.5$



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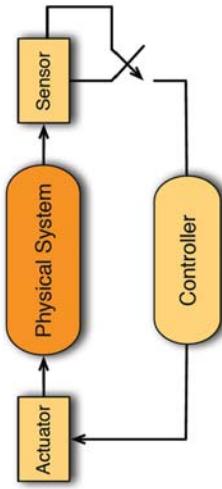
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Disturbances in ETC

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• What if small disturbances are present?

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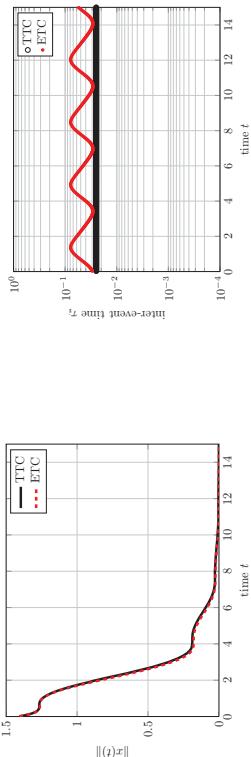
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Illustrative example

- Consider $\dot{x} = \begin{bmatrix} 0 & 1 \\ -2 & 3 \end{bmatrix} x + \begin{bmatrix} 0 \\ 1 \end{bmatrix} u$ and $u(t) = [1 \ -4]x(t_k)$

• TTC: $t_k = k \cdot 0.025$

• ETC: $t_k = t \iff \|e(t)\| = 0.05\|x(t)\|$



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Disturbances in ETC

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Disturbances in ETC

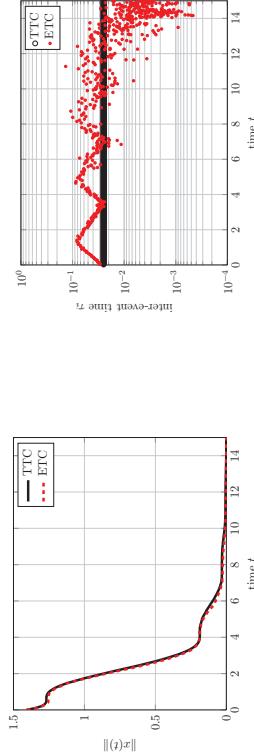
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Disturbances in ETC

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Illustrative example

- Consider $\dot{x} = \begin{bmatrix} 0 & 1 \\ -2 & 3 \end{bmatrix}x + \begin{bmatrix} 0 \\ 1 \end{bmatrix}u + \textcolor{red}{w}$ and $u(t) = [1 \ -4]x(t_k)$
- TTC: $t_k = k \cdot 0.025$
- ETC: $t_k = t \iff \|e(t)\| = 0.05\|x(t)\|$



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What's next?

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Issues in ETC

- Output-based ETC
 - Robustness of MIET / resource utilization

Outline 2nd hour

- Solution I for output-based ETC:
 - Alternative event-triggering conditions (not relative)
 - Event-separation properties for alternative ETC (robustness)
- Solution II for output-based ETC:
 - Time regularization: Periodic Event-Triggered Control (PETC)
 - Again perturbation and hybrid system approaches
- Conclusions & Outlook

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Possible remedies

- Solution I: Adopt alternative ETMs instead of $\|y - \hat{y}\|^2 > \sigma\|y\|^2$
 - Absolute: $\|y - \hat{y}\|^2 > \varepsilon$
 - Mixed: $\|y - \hat{y}\|^2 > \sigma\|y\|^2 + \varepsilon$
- Solution II: Time regularization
 - Enforce minimal inter-event time $T[1,2]$
 - Events only at kh , $k \in \mathbb{N}$

$$t_{k+1} = \inf\{t > t_k + T \mid \|y - \hat{y}\|^2 > \sigma\|y\|^2\}$$

$$t_{k+1} = \inf\{t > t_k \mid \|y - \hat{y}\|^2 > \sigma\|y\|^2 \wedge t = kh, k \in \mathbb{N}\}$$

Periodic Event-Triggered Control (PETC)

Output-based ETC

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Output-based control

The Event-Triggered Control System

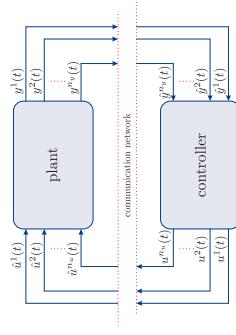
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System Description

Objective:

- Setup an output-based event-triggering mechanism (ETM)
- Guaranteed MIET > 0
- Mixed ETM: $\|y^i - \hat{y}^i\| > \sigma_i \|y^i\| + \varepsilon_i$
- General setup: decentralized ETM

Output-based ETC using Mixed ETM



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[Donkers, Heemels, TAC 2012]
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Overview for mixed ETMs

- Mixed ETM: $\|y^i - \hat{y}^i\| > \sigma_i \|y^i\| + \varepsilon_i$
- Minimal inter-event time strictly positive guaranteed (bound depends on $\|\bar{x}(0)\|$ and $\|w\|$)
- Ultimate boundedness: determined by σ_i
- Size ultimate bound: tuned by ε_i
- Two perspectives for LMI-based stability and \mathcal{L}_∞ -gain analysis
 - Hybrid system approach
 - Perturbation approach
 - HS less conservative, but harder work
- Tradeoff ultimate bound/ \mathcal{L}_∞ -gain and number of events

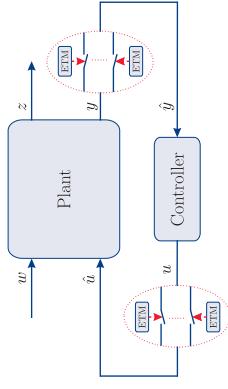
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Illustrative Examples

Example 2: $\varepsilon_i = 0$ zero inter-event times!



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Illustrative Examples

Illustrative Examples

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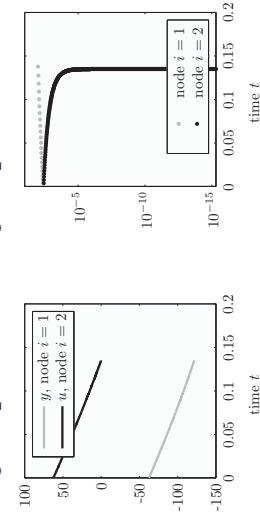
Example 2: $\varepsilon_i = 0$ zero inter-event times!

- Consider

$$\begin{cases} \dot{x}_p = \begin{bmatrix} 0 & 1 \\ -2 & 3 \end{bmatrix} x_p + \begin{bmatrix} 0 \\ 1 \end{bmatrix} \hat{y} \\ y = \begin{bmatrix} 1 & 4 \end{bmatrix} x_p \end{cases}$$

- ETM: $\|\hat{y} - y\|^2 = \sigma_1 \|y\|^2 + \varepsilon_1$ and $\|\hat{u} - u\|^2 = \sigma_1 \|u\|^2 + \varepsilon_2$

- Parameters: $\sigma_1 = \sigma_2 = 10^{-3}$ and $\varepsilon_1 = \varepsilon_2 = 0$



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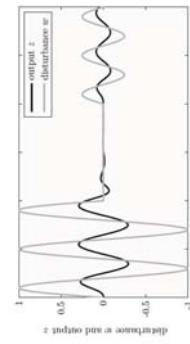
Illustrative Examples

Example 3: What ETC is all about!

- Consider

$$\begin{cases} \dot{x}_p = \begin{bmatrix} 0 & 1 \\ -2 & -3 \end{bmatrix} x_p + \begin{bmatrix} 0 \\ 1 \end{bmatrix} \hat{u} + \begin{bmatrix} 0 \\ 1 \end{bmatrix} w \\ y = \begin{bmatrix} 1 & 0 \end{bmatrix} x_p \end{cases}$$

- Taking $\sigma_1 = \sigma_2 = 10^{-3}$ and $\varepsilon_1 = \varepsilon_2 = 10^{-3}$, yields \mathcal{L}_∞ -gain of 0.46 for $z = [1 \ 0] x_p$



- Act when needed!

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Example 2: Need for extending ETM including ε_i

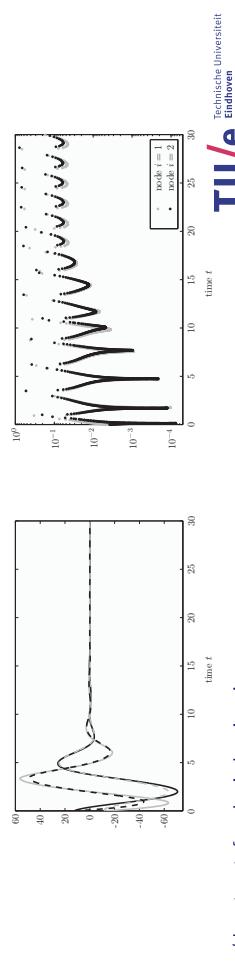
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- Consider

$$\begin{cases} \dot{x}_p = \begin{bmatrix} 0 & 1 \\ -2 & 3 \end{bmatrix} x_p + \begin{bmatrix} 0 \\ 1 \end{bmatrix} \hat{y} \\ y = \begin{bmatrix} 1 & 4 \end{bmatrix} x_p \end{cases}$$

- ETM: $\|\hat{y} - y\|^2 = \sigma_1 \|y\|^2 + \varepsilon_1$ and $\|\hat{u} - u\|^2 = \sigma_1 \|u\|^2 + \varepsilon_2$

- Parameters: $\sigma_1 = \sigma_2 = 10^{-3}$ and $\varepsilon_1 = \varepsilon_2 = 10^{-3}$



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ETC under disturbances

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Disturbances in ETC

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Event-separation properties

- Consider $\dot{x} = Ax + Bu + \textcolor{red}{w}$ and $u(t) = Kx(t_k) = K(x(t) + e(t))$
- Inter-execution times:

$$t_{k+1} = \inf\{t > t_k \mid \underbrace{\|x(t_k) - x(t)\|}_{=e(t)} \geq \sigma \|x(t)\| + \varepsilon\}$$

→ MIET $\tau(x_0, w)$ dependent on x_0 and w : $\tau(x_0, w) = \inf_{k \in \mathbb{N}} (t_{k+1} - t_k)$

Different kinds of triggering conditions

- Relative ETM: $\varepsilon = 0$ and $\sigma > 0$
- Absolute ETM: $\varepsilon > 0$ and $\sigma = 0$
- Mixed ETM: $\varepsilon > 0$ and $\sigma > 0$

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Event-separation properties

Global: Lower bound $\tau_{min} > 0$ for the complete \mathbb{R}^{n_x} in absence of disturbances

$$\tau(x_0, 0) \geq \tau_{min}$$

Semi-global: Lower bound $\tau_{min} > 0$ for any compact set $\mathcal{X} \subset \mathbb{R}^{n_x}$ in absence of disturbances

$$\tau(x_0, 0) \geq \tau_{min}$$

Local: Lower bound $\tau_{min} > 0$ for any single point $x_0 \in \mathbb{R}^{n_x}$ in absence of disturbances

$$\tau(x_0, 0) \geq \tau_{min}$$

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Event-separation properties

Robust Global: Lower bound $\tau_{min} > 0$ for the complete \mathbb{R}^{n_x} for **bounded disturbances** $\|w\|_{\mathcal{L}_\infty} \leq \epsilon$

$$\tau(x_0, \textcolor{red}{w}) \geq \tau_{min}$$

Robust Semi-global: Lower bound $\tau_{min} > 0$ for any compact set $\mathcal{X} \subset \mathbb{R}^{n_x}$ for **bounded disturbances** $\|w\|_{\mathcal{L}_\infty} \leq \epsilon$

$$\tau(x_0, \textcolor{red}{w}) \geq \tau_{min}$$

Robust Local: Lower bound $\tau_{min} > 0$ for any single point $x_0 \in \mathbb{R}^{n_x}$ for **bounded disturbances** $\|w\|_{\mathcal{L}_\infty} \leq \epsilon$

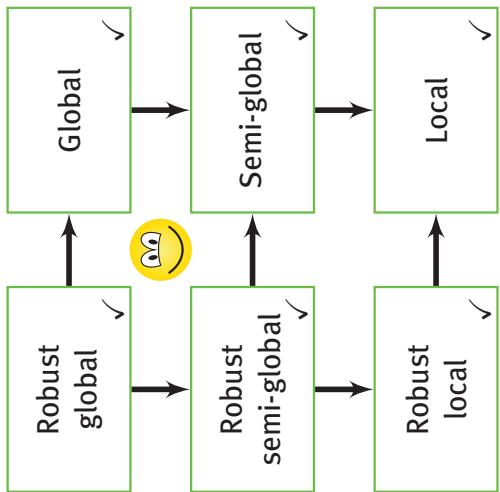
$$\tau(x_0, \textcolor{red}{w}) \geq \tau_{min}$$

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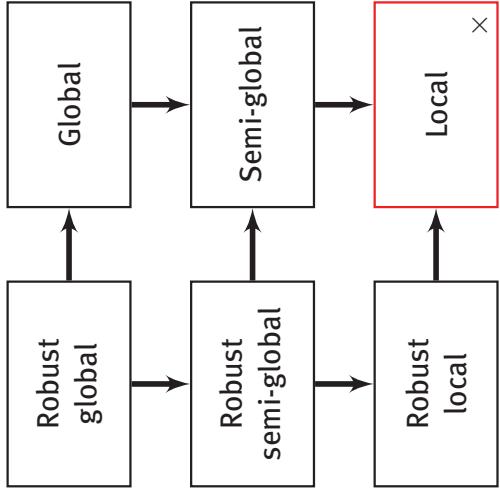
Overview of event-separation properties

Overview of event-separation properties



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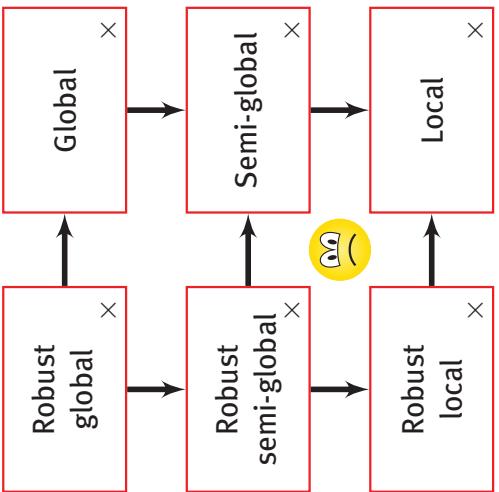
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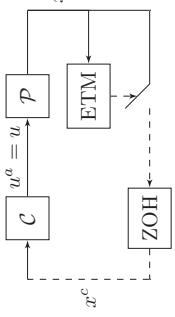
Overview of event-separation properties



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Example: Linear system



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$$\mathcal{P} : \dot{x} = \begin{bmatrix} 0 & 1 \\ -2 & 3 \end{bmatrix} x + \begin{bmatrix} 0 \\ 1 \end{bmatrix} u$$

$$\mathcal{C} : u = \begin{bmatrix} 1 & -4 \end{bmatrix} (x + e)$$

rel ETM $t_i = t \iff \|e(t)\| = 0.05\|x(t)\|$

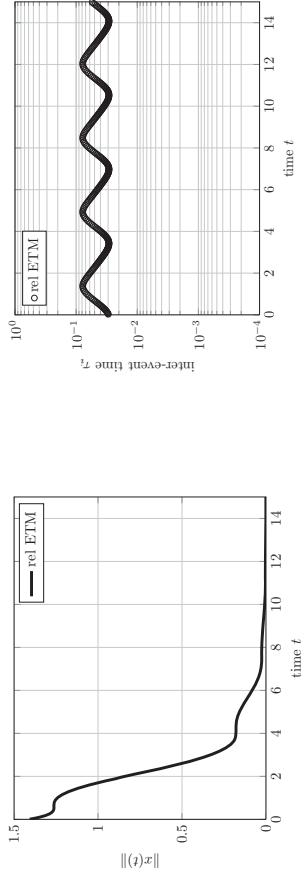
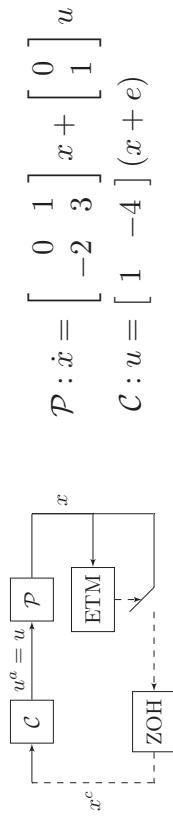
abs ETM $t_i = t \iff \|e(t)\| = 0.001$

mix ETM $t_i = t \iff \|e(t)\| = 0.05\|x(t)\| + 0.001$

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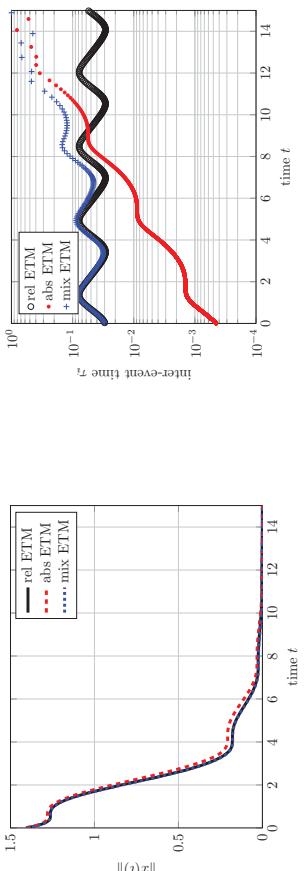
Example: Linear system



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Example: Linear system

	ETM	robust global	global	robust semi-global	semi-global	robust local	local
relative			✓				✓
absolute			✗				✓
mixed			✓	✓	✓		✓

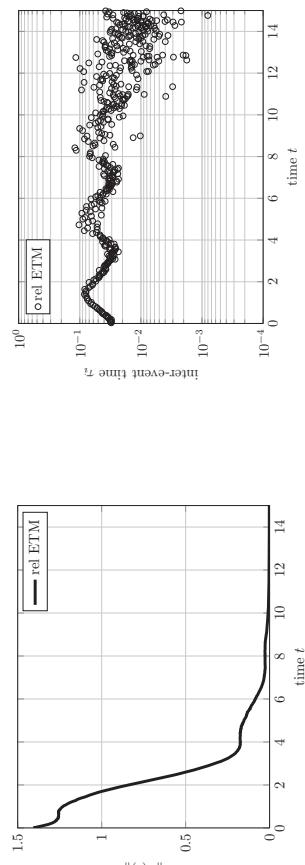


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Example: Linear system

$$\mathcal{P} : \dot{x} = \begin{bmatrix} 0 & 1 \\ -2 & 3 \end{bmatrix}x + \begin{bmatrix} 0 \\ 1 \end{bmatrix}u + \begin{bmatrix} 0 \\ 1 \end{bmatrix}w$$

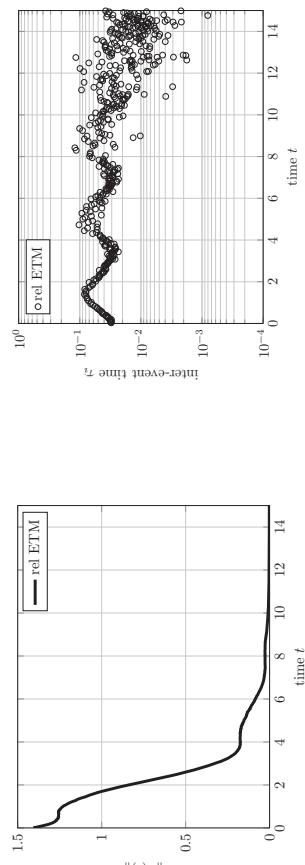
$$\mathcal{C} : u = \begin{bmatrix} 1 & -4 \end{bmatrix}(x + e)$$



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$$\mathcal{P} : \dot{x} = \begin{bmatrix} 0 & 1 \\ -2 & 3 \end{bmatrix}x + \begin{bmatrix} 0 \\ 1 \end{bmatrix}u + \begin{bmatrix} 0 \\ 1 \end{bmatrix}w$$

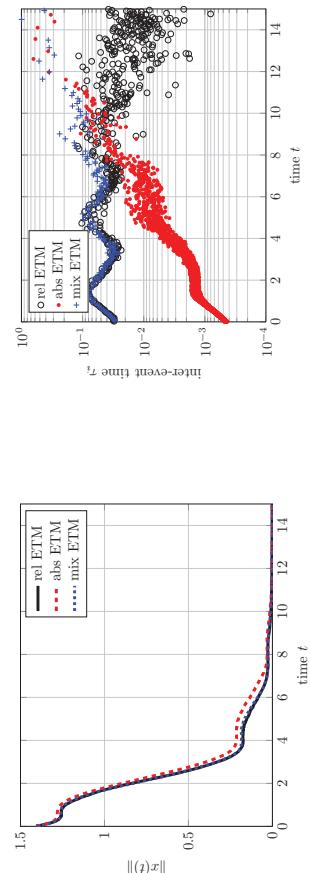
$$\mathcal{C} : u = \begin{bmatrix} 1 & -4 \end{bmatrix}(x + e)$$



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Example: Linear system

ETM	robust global	global	robust semi-global	semi-global	robust local	local
relative	×		×	✓	✓	✓
absolute	×	✓	×	✓	✓	✓
mixed	✓		✓	✓	✓	✓



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Periodic Event-Triggered Control (PETC)

- Solution I: Adopt **alternative** ETMs instead of $\|y - \hat{y}\|^2 > \sigma \|y\|^2$
 - **Mixed:** $\|y - \hat{y}\| > \sigma \|y\| + \varepsilon$
- Solution II: Time regularization
 - Events only at kh , $k \in \mathbb{N}$

$$t_{k+1} = \inf\{t > t_k \mid \|y - \hat{y}\|^2 > \sigma \|y\|^2 \wedge t = kh, k \in \mathbb{N}\}$$

► Periodic Event-Triggered Control (PETC) [1,2]

Summary

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- Event-separation properties: local/semi-global/global and robustness of MIET/resource utilization

State-feedback case

ETM	robust global	global	robust semi-global	semi-global	robust local	local
relative	✓	✓	✓	✓	✓	✓
absolute	✓	✓	✓	✓	✓	✓
mixed	✓	✓	✓	✓	✓	✓

Output-feedback case

ETM	robust global	global	robust semi-global	semi-global	robust local	local
relative	✓	✓	✓	✓	✓	✓
absolute	✓	✓	✓	✓	✓	✓
mixed	✓	✓	✓	✓	✓	✓

[Borgers & Heemels, CDC 13 submitted]

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- Solution I: Adopt **alternative** ETMs instead of $\|y - \hat{y}\|^2 > \sigma \|y\|^2$

– **Mixed:** $\|y - \hat{y}\| > \sigma \|y\| + \varepsilon$

- Solution II: Time regularization

– Events only at kh , $k \in \mathbb{N}$

$$t_{k+1} = \inf\{t > t_k \mid \|y - \hat{y}\|^2 > \sigma \|y\|^2 \wedge t = kh, k \in \mathbb{N}\}$$

► Periodic Event-Triggered Control (PETC) [1,2]

[1] Heemels, Donkers & Teel, CDC/ECC'11 [2] Heemels, Donkers & Teel, TAC 13

Periodic ETC (PETC)

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- **Paradigm shift:** Periodic control \rightarrow Event-triggered control



- In contrast with CETC
- Sampling periodic, but ...
- Every sampling time it is decided to act or not.

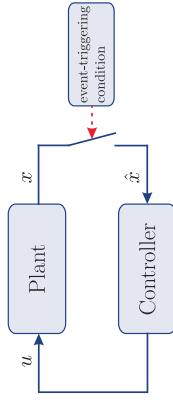
- PETC vs. CETC: Implementation advantages!
 - Guaranteed (reasonable) minimal inter-event time
 - Only time-periodic verification of event-triggering conditions
 - More in line with time-sliced architectures

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PETC

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Description



$$\frac{dx}{dt} = A^p x + B^p u + B^w w$$

$$u(t) = K \hat{x}(t)$$

$$\hat{x}(t) = \begin{cases} x(t_k), & \text{when } \mathcal{C}(x(t_k), \hat{x}(t_k)) > 0 \\ \hat{x}(t_k), & \text{when } \mathcal{C}(x(t_k), \hat{x}(t_k)) \leq 0 \end{cases}$$

with $t_k = kh$ and $h > 0$ fixed sampling period

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PETC

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Hybrid system formulation

$$\hat{x}(t) = \begin{cases} x(t_k), & \text{when } \mathcal{C}(x(t_k), \hat{x}(t_k)) > 0 \\ \hat{x}(t_k), & \text{when } \mathcal{C}(x(t_k), \hat{x}(t_k)) \leq 0 \end{cases}$$

- [1,2] $\|\hat{x}(t_k) - x(t_k)\| > \sigma \|x(t_k)\|$
- [3] $\|K\hat{x}(t_k) - Kx(t_k)\| > \sigma \|Kx(t_k)\|$
- [4,5] $(Ax(t_k) + BK\hat{x}(t_k))^T P(Ax(t_k) + BK\hat{x}(t_k)) > \beta \|x(t_k)\|^2$

$$\mathcal{C}(\xi(t_k)) = \xi^\top(t_k) Q \xi(t_k) > 0,$$

where $\xi := (x, \hat{x}) \in \mathbb{R}^{n_\xi}$ and

-
- [1] Tabuada, TAC '07
 - [2] Wang & Lemmon, TAC '10
 - [3] Donkers & Heemels CDC'10, TAC'12
 - [4] Velasco et al, CDC'09
 - [5] Mazzo et al, ECC'09

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$$\frac{d}{dt} \begin{bmatrix} \xi \\ \tau \end{bmatrix} = \begin{bmatrix} \bar{A}\xi + \bar{B}w \\ 1 \end{bmatrix}, \quad \text{when } \tau \in [0, h],$$

$$\begin{bmatrix} \xi^+ \\ \tau^+ \end{bmatrix} = \begin{cases} \begin{bmatrix} J_1 \xi \\ 0 \end{bmatrix}, & \text{when } \xi^\top Q \xi > 0, \tau = h \\ \begin{bmatrix} J_2 \xi \\ 0 \end{bmatrix}, & \text{when } \xi^\top Q \xi \leq 0, \tau = h \end{cases}$$

with $\xi := (x, \hat{x}) \in \mathbb{R}^{n_\xi}$ and

$$\bar{A} := \begin{bmatrix} A^p & B^p K \\ 0 & 0 \end{bmatrix}, \quad \bar{B} := \begin{bmatrix} B^w \\ 0 \end{bmatrix}, \quad J_1 := \begin{bmatrix} I & 0 \\ I & 0 \end{bmatrix}, \quad J_2 := \begin{bmatrix} I & 0 \\ 0 & I \end{bmatrix}$$

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PETC

PETC

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$$\frac{d}{dt} \begin{bmatrix} \xi \\ \tau \end{bmatrix} = \begin{bmatrix} \bar{A}\xi + \bar{B}w \\ 1 \end{bmatrix}, \quad \text{when } \tau \in [0, h],$$

$$\begin{bmatrix} \xi^+ \\ \tau^+ \end{bmatrix} = \begin{cases} \begin{bmatrix} J_1\xi \\ 0 \end{bmatrix}, & \text{when } \xi^\top Q\xi > 0, \tau = h \\ \begin{bmatrix} J_2\xi \\ 0 \end{bmatrix}, & \text{when } \xi^\top Q\xi \leq 0, \tau = h \end{cases}$$

Problem formulation:

- Globally exponentially stable ($w = 0$): $\|\xi(t)\| \leq ce^{-\rho t}\|\xi(0)\|$
- \mathcal{L}_2 gain smaller than or equal to γ with $z = \bar{C}\xi + \bar{D}w$

$$\sqrt{\int_0^\infty \|z(t)\|^2 dt} \leq \gamma \sqrt{\int_0^\infty \|w(t)\|^2 dt}$$

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PETC

Problem formulation:

- Globally exponentially stable ($w = 0$): $\|\xi(t)\| \leq ce^{-\rho t}\|\xi(0)\|$
- \mathcal{L}_2 gain smaller than or equal to γ with $z = \bar{C}\xi + \bar{D}w$

$$\sqrt{\int_0^\infty \|z(t)\|^2 dt} \leq \gamma \sqrt{\int_0^\infty \|w(t)\|^2 dt}$$

Three approaches:

- Discrete-time piecewise linear system (PWL) approach
- Discrete-time Perturbation approach → Similar
- Hybrid system approach

[1] Heemels, Donkers & Teel, TAC 13

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Piecewise linear system approach

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$$\frac{d}{dt} \begin{bmatrix} \xi \\ \tau \end{bmatrix} = \begin{bmatrix} \bar{A}\xi + \bar{B}w \\ 1 \end{bmatrix}, \quad \text{when } \tau \in [0, h],$$

$$\begin{bmatrix} \xi^+ \\ \tau^+ \end{bmatrix} = \begin{cases} \begin{bmatrix} J_1\xi \\ 0 \end{bmatrix}, & \text{when } \xi^\top Q\xi > 0, \tau = h \\ \begin{bmatrix} J_2\xi \\ 0 \end{bmatrix}, & \text{when } \xi^\top Q\xi \leq 0, \tau = h \end{cases}$$

- Discretize at $t_k = kh$ with $\xi_k := \xi(t_k)$ ($w = 0$)

$$\xi_{k+1} = \begin{cases} A_1\xi_k, & \text{when } \xi_k^\top Q\xi_k > 0, \\ A_2\xi_k, & \text{when } \xi_k^\top Q\xi_k \leq 0, \text{ where} \end{cases}$$

$$A_1 = e^{\bar{A}h} J_1 = \begin{bmatrix} A + BK & 0 \\ I & 0 \end{bmatrix}, \quad A_2 = e^{\bar{A}h} J_2 = \begin{bmatrix} A & BK \\ 0 & I \end{bmatrix},$$

$$A := e^{Aph}, \quad B := \int_0^h e^{A^p s} B^p ds.$$

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Theorem The PETC system is GES, if there are matrices P_1 , P_2 and scalars $\alpha_{ij} \geq 0$, $\beta_{ij} \geq 0$ and $\kappa_i \geq 0$, s.t.

$$P_i - A_i^\top P_j A_i + (-1)^i \alpha_{ij} Q + (-1)^j \beta_{ij} A_i^\top Q A_i \succ 0, \quad \text{for all } i, j \in \{1, 2\},$$

and

$$P_i + (-1)^i \kappa_i Q \succ 0, \quad \text{for all } i \in \{1, 2\}.$$

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Hybrid system approach

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Hybrid system approach

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$$\begin{aligned} \frac{d}{dt} \begin{bmatrix} \xi \\ \tau \end{bmatrix} &= \begin{bmatrix} \bar{A}\xi + \bar{B}w \\ 1 \end{bmatrix}, \quad \text{when } \tau \in [0, h], \\ \begin{bmatrix} \xi^+ \\ \tau^+ \end{bmatrix} &= \begin{cases} \begin{bmatrix} J_1\xi \\ 0 \end{bmatrix}, & \text{when } \xi^\top Q\xi > 0, \tau = h \\ \begin{bmatrix} J_2\xi \\ 0 \end{bmatrix}, & \text{when } \xi^\top Q\xi \leq 0, \tau = h \end{cases} \end{aligned}$$

Main idea \mathcal{L}_2 gain analysis: $\varkappa = \bar{C}\xi + \bar{D}w$

- Timer-dependent quadratic Lyapunov function $V(\xi, \tau) = \xi^\top P(\tau)\xi$
- $\frac{d}{dt}V \leq -2\rho V - \gamma^{-2}z^\top z + w^\top w$
- During jumps decrease:

$$\begin{aligned} V(J_1\xi, 0) &\leq V(\xi, h), \quad \text{for all } \xi \text{ with } \xi^\top Q\xi > 0, \\ V(J_2\xi, 0) &\leq V(\xi, h), \quad \text{for all } \xi \text{ with } \xi^\top Q\xi \leq 0 \end{aligned}$$

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Hybrid system approach

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Main idea \mathcal{L}_2 gain analysis $V(\xi, \tau) = \xi^\top P(\tau)\xi$

- $\frac{d}{dt}V \leq -2\rho V - \gamma^{-2}z^\top z + w^\top w$
- During jumps decrease:

$$V(J_1\xi, 0) \leq V(\xi, h), \quad \text{for all } \xi \text{ with } \xi^\top Q\xi > 0,$$

Nice idea ... but how?

- Riccati differential equation ($M = (\gamma^{-2}\bar{D}^\top\bar{D} - I)^{-1}$)

$$\frac{d}{d\tau}P = -\bar{A}^\top P - P\bar{A} - 2\rho P - \gamma^{-2}\bar{C}^\top\bar{C} - (P\bar{B} + \gamma^{-2}\bar{C}^\top\bar{D})M(\bar{B}^\top P + \gamma^{-2}\bar{D}^\top\bar{C})$$

$$\bullet \text{ Hamiltonian } H := \begin{bmatrix} \bar{A} + \rho I + \gamma^{-2}\bar{B}M\bar{D}^\top\bar{C} & \bar{B}M\bar{B}^\top \\ -\bar{C}^\top L\bar{C} & -(A + \rho I + \gamma^{-2}\bar{B}M\bar{D}^\top\bar{C})^\top \end{bmatrix}$$

$$\bullet F(\tau) := e^{-H\tau} = \begin{bmatrix} F_{11}(\tau) & F_{12}(\tau) \\ F_{21}(\tau) & F_{22}(\tau) \end{bmatrix}$$

$$\bullet P_0 = (F_{21}(h) + F_{22}(h)P_h)(F_{11}(h) + F_{12}(h)P_h)^{-1}$$

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Main idea \mathcal{L}_2 gain analysis $V(\xi, \tau) = \xi^\top P(\tau)\xi$

- $\frac{d}{dt}V \leq -2\rho V - \gamma^{-2}z^\top z + w^\top w$

- During jumps decrease:

$$V(J_1\xi, 0) \leq V(\xi, h), \quad \text{for all } \xi \text{ with } \xi^\top Q\xi > 0,$$

Nice idea ... but how?

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Hybrid system approach

Theorem: Suppose that there exist matrix $P_h \succ 0$, and scalars $\mu_i \geq 0$, such that for $i \in \{1, 2\}$

$$\begin{bmatrix} P_h + (-1)^i\mu_i Q & J_i^\top F_{11}^{-\top} P_h \bar{S} & J_i^\top (F_{11}^{-\top} P_h \bar{F}_{11}^{-1} + \bar{F}_{21} \bar{F}_{11}^{-1}) \\ * & I - \bar{S}^\top P_h \bar{S} & 0 \\ * & * & \bar{F}_{11}^{-\top} P_h \bar{F}_{11}^{-1} + \bar{F}_{21} \bar{F}_{11}^{-1} \end{bmatrix} > 0$$

Then, the PETC system is GES with convergence rate ρ (when $w = 0$) and has an \mathcal{L}_2 -gain smaller than or equal to γ .

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Comparison of the approaches

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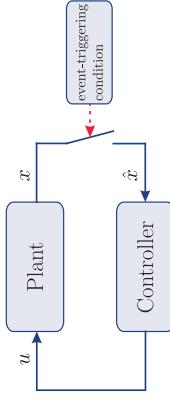
- (i) Piecewise linear system approach
- (ii) Perturbation approach (discrete-time linear system)
- (iii) Hybrid system approach (intersample behavior)
 - All LMI-based and thus efficient
 - (ii): Simple: \mathcal{H}_∞ -norm calculations for GES
 - (i): Least conservative for GES
 - (iii): \mathcal{L}_2 gain analysis

[1] Heemels, Donkers & Teel , TAC 13

Numerical example

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$$\frac{dx}{dt} = \begin{bmatrix} 0 & 1 \\ -2 & 3 \end{bmatrix} x + \begin{bmatrix} 0 \\ 1 \end{bmatrix} u$$



$$u(t) = K\hat{x}(t)$$

$K = [1 \ -4]$ and $t_k = kh$ with $h = 0.05$. For $t \in (t_k, t_{k+1}]$

$$\hat{x}(t) = \begin{cases} x(t_k), & \text{when } \|\hat{x}(t_k) - x(t_k)\| > \sigma \|x(t_k)\| \\ \hat{x}(t_k), & \text{when } \|\hat{x}(t_k) - x(t_k)\| \leq \sigma \|x(t_k)\| \end{cases}$$

Numerical example

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$$\frac{dx}{dt} = \begin{bmatrix} 0 & 1 \\ -2 & 3 \end{bmatrix} x + \begin{bmatrix} 0 \\ 1 \end{bmatrix} u$$

$$u(t) = K\hat{x}(t)$$

$K = [1 \ -4]$ and $t_k = kh$ with $h = 0.05$. For $t \in (t_k, t_{k+1}]$

$$\hat{x}(t) = \begin{cases} x(t_k), & \text{when } \|K\hat{x}(t_k) - Kx(t_k)\| > \sigma \|Kx(t_k)\| \\ \hat{x}(t_k), & \text{when } \|K\hat{x}(t_k) - Kx(t_k)\| \leq \sigma \|Kx(t_k)\| \end{cases}$$

- Note: Could also be output-based example $u = \hat{y}$ and $y = Kx$

Numerical example

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$$\hat{x}(t) = \begin{cases} x(t_k), & \text{when } \|\hat{x}(t_k) - x(t_k)\| > \sigma \|x(t_k)\| \\ \hat{x}(t_k), & \text{when } \|\hat{x}(t_k) - x(t_k)\| \leq \sigma \|x(t_k)\| \end{cases}$$

Maximal σ for which GES guaranteed by different approaches:

- (i) $\sigma_{\text{pert}} := 0.1728$ ($\mathcal{H}_\infty = 1/0.1728$)
- (ii) $\sigma_{\text{PWL}} = \sigma_{\text{HS}} = 0.2425$

As expected, $\sigma_{\text{pert}} \leq \sigma_{\text{PWL}}$ and $\sigma_{\text{HS}} \leq \sigma_{\text{PWL}}$

Minimal inter-event times:

- $2h = 0.1$ in former cases and $3h = 0.15$ in latter case!

Numerical example

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$$\frac{d}{dt}x = \begin{bmatrix} 0 & 1 \\ -2 & 3 \end{bmatrix}x + \begin{bmatrix} 0 \\ 1 \end{bmatrix}u$$

$$u(t) = K\hat{x}(t)$$

$K = [1 \ -4]$ and $t_k = kh$ with $h = 0.05$. For $t \in (t_k, t_{k+1}]$

$$\hat{x}(t) = \begin{cases} x(t_k), & \text{when } \|K\hat{x}(t_k) - Kx(t_k)\| > \sigma \|Kx(t_k)\| \\ \hat{x}(t_k), & \text{when } \|K\hat{x}(t_k) - Kx(t_k)\| \leq \sigma \|Kx(t_k)\| \end{cases}$$

Maximal σ for which GES guaranteed by different approaches:

(i) $\sigma_{\text{PWL}} = 0.2550$

(ii) $\sigma_{\text{pert}} = 0.2506$

(iii) $\sigma_{\text{HS}} = 0.2532$

As expected, $\sigma_{\text{pert}} \leq \sigma_{\text{PWL}}$ and $\sigma_{\text{HS}} \leq \sigma_{\text{PWL}}$

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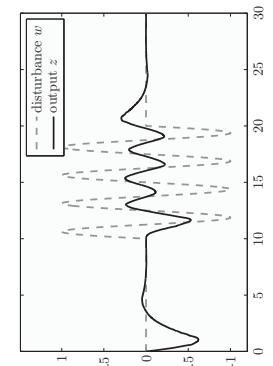
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Numerical example

$$\frac{d}{dt}x = \begin{bmatrix} 0 & 1 \\ -2 & 3 \end{bmatrix}x + \begin{bmatrix} 0 \\ 1 \end{bmatrix}w, \quad u(t) = K\hat{x}(t)$$

$$\hat{x}(t) = \begin{cases} x(t_k), & \text{when } \|K\hat{x}(t_k) - Kx(t_k)\| > \sigma \|Kx(t_k)\| \\ \hat{x}(t_k), & \text{when } \|K\hat{x}(t_k) - Kx(t_k)\| \leq \sigma \|Kx(t_k)\| \end{cases}$$

• \mathcal{L}_2 gain analysis $z = [0 \ 1]x$



• $\sigma = 0.2$

• Inter-event times: 0.05 to 0.85 (17 times h)!!!

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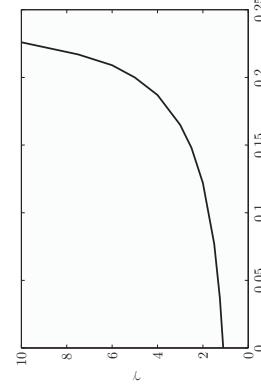
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• \mathcal{L}_2 gain analysis $z = [0 \ 1]x$



• $\sigma \downarrow 0$ then $\gamma \rightarrow \mathcal{L}_2$ -gain of periodic s-d control (design)
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Conclusions

- Part I: Event-triggered control based on full state feedback and relative triggering
 - Global asymptotic stability
 - Guaranteed global MIEF
- Two analysis frameworks
 - Perturbation perspective (emulation-based design)
 - Hybrid systems perspective (less conservative)
- Output feedback and disturbances removed favorable features
- Part II: Output-based ETC and event-separation properties (ESP)
 - Absolute/Mixed triggering
 - UB/practical stability & robust (semi-global) ESP
 - Time regularization (Periodic Event-Triggered Control (PETC))
 - Time-regularization plus relative ETM: GAS, robust global ESP, but reduces to time-triggered loop under disturbances
 - Careful with robustness issues in resource utilization
 - Again perturbation and hybrid system approaches

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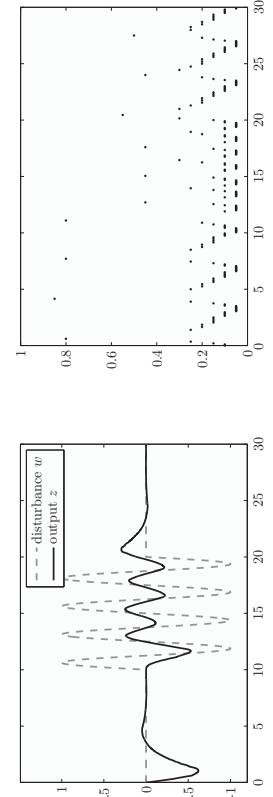
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Extensions & Outlook

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- Linear system: $\dot{x} = Ax + Bu + w$
- Control law: $u = Kx(t_k) = K(x + e)$
- Execution times:
$$t_{k+1} = \inf\{t > t_k \mid \underbrace{\|x(t_k) - x(t)\|}_{=e(t)} \geq \sigma \|x(t)\| + \varepsilon\}$$

Extensions & Outlook

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- Decentralized event-triggered control [1-6]
$$t_{k+1} = \inf\{t > t_k \mid \|y_i(t_k) - y_i(t)\| \geq \sigma_i \|y_i(t)\| + \varepsilon_i\}$$

- [1] Mazo Jr. and Tabuada, TAC'11
[2] Persis et al, Automatica 2013
[3] Garcia, Antsaklis, ACC 2012
[4] Wang, Lemmon, TAC 11
[5] Donkers, Heemels, TAC 2012
[6] Heemels, Donkers, TAC'13, Automatica 13

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$$\dot{x}_{\text{pred}}(t) = (A + BK)x_{\text{pred}}(t) \text{ with } x_{\text{pred}}(t_k) = x(t_k)$$

• Model-based event-triggered control [1,2,3,4,5]

– “I know what you know principle”

$$- t_{k+1} = \inf\{t > t_k \mid \|x_{\text{pred}}(t) - x(t)\| \geq \sigma \|x(t)\| + \varepsilon\} \text{ with}$$

$$\dot{x}_{\text{pred}}(t) = (A + BK)x_{\text{pred}}(t)$$

- [1] Yook, Tilbury et al, TCST'02
[2] Lunze, Lehmann, Automatica'10
[3] Garcia, Antsaklis, ACC'12
[4] Heemels, Donkers, Automatica'13
[5] Bernardini, Bemporad, Automatica'12

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- [1] Astrom, Bernhardsson, IFAC'99, CDC'02
[2] Barradas-Berglind et al, NMPC'12
[3] Antunes, Heemels, Tabuada, CDC'12

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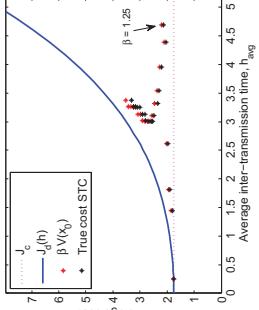
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• Outperforming periodic time-triggered control [1,2,3]



[1] Astrom, Bernhardsson, IFAC'99, CDC'02
[2] Barradas-Berglind et al, NMPC'12
[3] Antunes, Heemels, Tabuada, CDC'12
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- [1] Astrom, Bernhardsson, IFAC'99, CDC'02
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Extensions & Outlook

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- Event-triggered and self-triggered control appealing research area
- System theory far from mature
- Many interesting problems open
- Good area for young researchers!
- **Homepage**
<http://www.dct.tue.nl/heemels>

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- Mentioned extensions:
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 - W. Heemels and M. Donkers, *Model-based periodic event-triggered control for linear systems*, Automatica, 49(3), March 2013, p. 698-711, 2013.

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- Lectures based on:
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- Earlier works:
 - W.P.M.H. Heemels, J.H. Sandee, P.P.J. van den Bosch, "Analysis of event-driven controllers for linear systems", International Journal of Control, 81(4), pp. 571-590 (2008)
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- Tutorial
 - W.P.M.H. Heemels, K.H. Johansson, and P. Tabuada, "An introduction to event-triggered and self-triggered control," 51st IEEE Conference on Decision and Control 2012, Hawaii, USA, p. 3270-3285
- **Homepage**
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